

Introduction to arithmetic dynamics

7/7/20

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① What is arithmetic dynamics?

Strange term coined by J. Silverman in the 90's that mixes two a priori very different subjects.

- arithmetic = study of rational numbers, in equations with integral coefficients in finite extensions of \mathbb{Q} .

(ex)

$$\begin{aligned}\Phi_4(z, c) = & z^{12} + (6c)z^{10} + z^9 + (3c+15c^2)z^8 + 4cz^7 \\ & + (1+12c^2+20c^3)z^6 + (2c+6c^2)z^5 + (4c+3c^2+18c^3+15c^4)z^4 \\ & + (1+4c^2+4c^3)z^3 + (c+5c^2+6c^3+12c^4+6c^5)z^2 \\ & + (2c+c^2+2c^3+c^4)z + (1+2c^2+3c^3+3c^4+3c^5+c^6).\end{aligned}$$

Has no solution $(z, c) \in \mathbb{Q}$. (Mazur 1998).

- dynamics = focus on the evolution of a system with time concerning with discrete dynamical systems.

X a set $f: X \rightarrow X$ map

$f^n = f^{\circ n} = \underbrace{f \circ \dots \circ f}_{n \text{ times}}$

aim = describe the behaviour of the sequence $\{f^n(x)\}_{n \in \mathbb{N}}$ for all $x \in X$.

7) $x \in \text{orb } a$ s.t. $= 2$ cases

- $\{f^n(x)\}$ is finite $\rightarrow x \text{ is } \underline{\text{preperiodic}}$
- $\{f^n(x)\}$ is infinite $\rightarrow x \text{ is } \underline{\text{wandering}}$.

when x is preperiodic, \exists minimal $q \geq 0$ ~~not minimal~~ s.t. $f^q(x)$

is periodic i.e. $f^N(f^q(x)) = f^q(x)$ for some N

when x is periodic, \exists minimal $p \geq 1$ s.t. $f^p(x) = x$
~~exactly~~ ~~periodic~~ period

notation $\text{Repn}(f) = \{x \in X, \{f^n(x)\} \text{ is finite}\}$

$\text{Per}_n(f) = \{ \text{periodic points of } f \text{ of period } n\}$

remark = usually we puts more structure on X = topological, smooth, group...
we may want to describe the ω -limit set

$$\omega(x) = \bigcap_{n \geq 0} \overline{\bigcup_{m \geq n} \{f^m(x)\}}$$

Arithmatic dynamics = set of problems that arises when looking at the dynamics
of algebraic maps defined over a number field.

\hookrightarrow focus of lectures will focus on ~~the~~ a big challenge called

the uniform boundedness conjecture stated by J. Silverman

Only partial results ~~so far~~, very much open.

Aim: Use algebraic methods to count the # of periodic points for degree 1
algebraic dyn. systems

exp $f_c(z) = z^2 + c$. $z, c \in \mathbb{Q}$

exhibited $\text{Per}_4(f_c) = \left\{ \frac{f_c^4(z) - z}{f_c^2(z) - z} \right\}$

$$\Phi_4(z, c)$$

$\forall c \in \mathbb{Q}$ o.r. f_c admits a
period 4 point defined over \mathbb{Q}

(2) Rational functions in one variable

We shall focus our attention to the dynamics of rational maps.

First discuss the algebraic properties of such maps.

- $K = \text{field}$ $f \in K(T)$ rational map of degree $d \geq 1$

$$f = \frac{P(T)}{Q(T)} = \frac{\sum a_i T^i}{\sum b_j T^j} \quad \max \{d_1(P), d_1(Q)\} = d \\ P, Q \neq 0.$$

ans. P and Q have no common factors. \star

* recall $K[T]$ is a unique factorization domain

$$\textcircled{1} \Leftrightarrow \exists U, V \in K[T] \quad UP + VQ = 1.$$

when K is a field
 $\Leftrightarrow P^{-1}(0) \cap Q^{-1}(0) = \emptyset$.

- $X = \mathbb{P}^1(K) \stackrel{\text{def}}{=} K \cup \{\infty\}$.

$f \in K(T)$ induces a natural map on $\mathbb{P}^1(K)$ $f = \mathbb{P}^1(K) \rightarrow \mathbb{P}^1(K)$

$$\begin{cases} \text{if } x \neq \infty \quad Q(x) \neq 0 & f(x) = \frac{P(x)}{Q(x)} \in K. \\ \text{if } x \neq \infty \quad Q(x) = 0 & f(x) = \infty \\ \text{if } x = \infty & f(\infty) = \begin{cases} \text{ad/bd} & \text{if bd} \neq 0. \\ \infty & \text{if bd} = 0. \end{cases} \end{cases}$$

Lemma $\forall x \in \mathbb{P}^1(K)$ $\text{and } f^{-1}(x) \subseteq \mathbb{P}^1(K)$ $\leq d$.

proof -

$$x \neq \infty \notin f^{-1}(x) \quad f^{-1}(x) = \left\{ y \in K, \frac{P(y)}{Q(y)} = x \right\} \quad \square.$$

Prop K alg. closed
 To each $x \in K'$ is attached an integer $d_{y_n(f)} \in \{3, \dots, d\}$.
 such that $\sum_{y \in f^{-1}(x)} d_{y_n(f)} = d$

① $\sum_{y \in f^{-1}(x)} d_{y_n(f)} = d$

② $f \circ g \in K(T) \quad d_{y_n}(f \circ g) = d_{y_n(g)} \times d_{y_n(f)}$

rank $K \geq 0$

③ $|\sum (d_{y_n(f)} - 1)| = 2d - 2$ ~~(if $y_n(f)$ is constant)~~

• definition of $d_{y_n}(f)$

$$x \neq \infty \quad x' = f(x) \neq \infty$$

expand $f(x+T) = \frac{P(x+T)}{Q(x+T)} = \frac{P(x)(1 + \sum \alpha_i T^i)}{Q(x)(1 + \sum \beta_j T^j)}$.

in $K[[T]]$ formal power series $(1 + \sum \beta_j T^j)$ is invertible.

$$f(x+T) = f(x) \left(1 + \sum \gamma_i T^i \right) \quad \forall i \in K.$$

$$d_{y_n}(f) = \min \{ i \mid \gamma_i \neq 0 \}.$$

• ④ $x \in K \quad y(x+T) = g(x) + \gamma T^{d_{y_n}(g)} + o(T^{d_{y_n}(g)})$

$y = g(x) \quad f(y+T) = f(y) + \beta T^{d_{y_n}(f)} + o(T^{d_{y_n}(f)})$

$f(g(x+T)) = f(y) + \beta \left(\gamma T^{d_{y_n}(g)} + o(T^{d_{y_n}(g)}) \right)^{d_{y_n}(f)} + o(T^{d_{y_n}(f)})$

$= f(y) + \beta \gamma^{d_{y_n}(f)} T^{d_{y_n}(g)d_{y_n}(f)} + o(T^{d_{y_n}(g)d_{y_n}(f)})$

• extend $d_{y_n}(f)$ to $n = \infty$ and $f(x) = \infty$ using ② and

$$\tau(T) = \frac{1}{T}.$$

① case $x=0$ $f^{-1}(x) \neq \infty$

$$f(T) = \frac{Q(T)}{P(T)} \quad d = dy(P) > dy(Q).$$

$$L(T) = \lambda \prod_{i=1}^n (T - y_i)^{-m_i}$$

$$f^{-1}(0) = \{y_1, \dots, y_n\}$$

claim $dy_{y_i}(f) = m_i$ ($\Rightarrow d = \sum m_i$ as required).

$$f(T+y_i) = T^{m_i} \left[\lambda \frac{\prod_{j \neq i} (T+y_j - y_i)^{-m_j}}{Q(T+y_i)} \right]$$

↑ rational function
non vanishing at 0. //

③ ~~$x \in K$~~ $f(x) \neq \infty$

$$f(x+T) = f(x) + (1 + \gamma T^{dy_x(f)} + \text{h.o.t.})$$

$$f'(x+T) = f'(x) + dy_x(f) T^{dy_x(f)-1} + \text{h.o.t.}$$

↑ if $dy_x(f) \wedge \text{rank } K = 1$!

~~x~~ x is a root of $f'(T)$ of multiplicity $dy_x(f)-1$

$$f'(T) = \frac{Q'Q - QQ'}{Q^2} \quad \begin{array}{l} \text{under the exception } \del{d} \text{ } dy_x(f) = 1 \\ \# \text{ zeros of } f' = 2d-2 \\ \text{w. multiplicity } \end{array}$$

Condition 1 $f \in K(T)$

$$dy(f \circ g) = dy(f) \times dy(g)$$

(apply ① & ②)

$$dy(f') \in \mathcal{O}(Q) - 1$$

highest order term
vanishes ! //

Condition 2 $\text{rank } K = 0$ $f \in K(T)$

$$\exists \mathbb{F} f \subseteq \mathbb{F}^1(K) \quad \text{Card}(\mathbb{F}) \leq 2d-2$$

For all $x \notin \mathbb{F}$ Card $f^{-1}(x) = d$.

Applications

$f \in K(T) \quad d \geq 2$

$\text{Per}_n(f, m, n) = \{x \in P^1(K) \mid f^m(x) \text{ is period of } f^n\}$.
To finite.

mod \circ $\text{Per}_{in}(f, n) = \text{Per}_n(f, 0, n)$

$$\{f^m(T) = T\} \quad \text{deg}(f^m) = d^m$$

$$f^m(T) = \frac{\mathbb{Q}_n(T)}{\mathbb{Q}_m(T)}$$

$$\text{Per}_n(f, n) \subseteq \{ \mathbb{Q}_n(T) = T \mathbb{Q}_m(T) \} \quad (\text{and } \text{Per}(n, f) \leq d^n + 1)$$

$$\circ \text{Per}_n(f, m, n) = f^{-m}(\text{Per}_n(f, n)) \quad \text{and } \leq d^m(d^n + 1) \quad //$$

~~Then~~ A K alg closed. $d \geq 2$ ~~closed~~.

$\parallel \text{Per} = \bigcup_{n \geq 1} \text{Per}(f, n) \quad \circ \text{ infinite}$

- ~~difficulty~~ a solution of $\{f^n = id\}$ ~~means~~ a solution of $\{f^{nm} = id\}$ and $p, q \geq 1$ two proves $\text{Per}(f, p) \cap \text{Per}(f, q) \neq \emptyset$ the multiplicity grow.
- need to argue that $\text{Per}(f, n) \neq \emptyset$
- to do so we has to ~~interpret~~ interpret dynamically the multiplicity of the root of $\mathbb{Q}_n - T \mathbb{Q}_n$.

we need to define the multiplicity of a fixed point.

Give the proof only for $d = 2$

6) if $x \in P'(K)$ we attach $\mu(f, x) \in \mathbb{N}^\times$ as follows

$$x \in K \quad \text{expand } f(x+T) - (x+T) = \sum a_i T^i$$

$$\mu(f, x) = \min \{i, a_i \neq 0\}.$$

$$n \geq \infty \quad \text{look at } f\left(\frac{1}{T}\right) - T$$

Lemma 1 $\sum_{f^n(x) \geq x} \mu(f^n, x) = d^n + 1$

Lemma 2 For each x $\sup_n \mu(f^n, x) < \infty$

Suppose K is finite. Replacing f by f^n we may assume

$$\text{Per}(f_n) = \text{Fix}(f)$$
 for all n

$$d^n + 1 = \sum_{f^n(x) \geq x} \mu(f^n, x) \stackrel{\text{Lemma 1}}{=} \sum_{f(x) \geq x} \mu(f, x) < +\infty$$

Lemma ①

Absurd !!!

Observation (Dank) And $\text{Per}(f_n) = d^n + GO$ (use some ① dynamics!)

Proof of Lemma 1 $n \geq 1 \quad \infty \notin \text{Fix}(f) \text{ i.e. } \deg f = d$.

$\mathbb{P}(T) - T \alpha(T)$ has $(d+1)$ solution with mult. $>$ such a solution must v .

$$P(T+x) - f(T+x) \alpha(T+x) = a T^{v+1} + \text{hor} \quad a \neq 0 \quad v \geq 1$$

$$\begin{aligned} Q(v) &\leq 0 \quad \text{hence } \frac{P(T+x) - f(T+x)}{(T+x)^{v+1}} &= (T+x)^{-v} &= (a T^{v+1})^{-1} (Q(v) + \alpha(1))^{-1} \\ &&&\Rightarrow \mu(f, x) = v. \end{aligned}$$

Proof of Lemma 2 WLOG $x \geq 0$

$$\text{if } \mu \geq 2 \quad f(T) = T + a T^{\mu} + \text{hor} \quad f^2(T) = (T + a T^{\mu} + b T^{\mu}) + a(T + a T^{\mu} + b T^{\mu})^{\mu}$$

$$\text{induction } f^k(T) = T + a T^{\mu} + b T^{\mu} = T + 2a T^{\mu} + \text{hor}. \quad \text{to show char } k \geq 0$$

7) if $\mu = 1$ ~~then~~ $f(T) = \lambda T + \text{hor}$.
I multiply

LTSVP

$p = \inf \{k, A^k = 1\}$

$$\underline{p \geq \infty} \Rightarrow \mu(f^n, x) = 1 \quad \forall n$$

Acknowledgment

$$p \neq n \Rightarrow \mu(f^n, x) = 1$$

$$mp = n \quad \mu(f^m, x) = \mu(f^{(p)})^n, x) = \mu(f^p, x).$$

Exercise = generalize to when $K > 0$

$$\sim \text{Lemma 2'} \quad x \in D'(K) \quad \text{s.t. } \mu(f^n, x) < \infty$$

$$\sim \text{if } \cup E_n(f^n) \text{ is finite take } n_{\max} \quad \cup E_n(f^n) = F_n(f)$$

$$d^n + \sum_{E_n(f^n)} \mu(f^n, x) = \sum_{F_n(f)} \mu(f^n, x) < \infty$$

③ Repetode points over a number field

Number field K is a finite extension of \mathbb{Q} .

recall K is a finite dimensional \mathbb{Q} -vector space of dimension $[K:\mathbb{Q}]$

$K \cong \text{isomorphic to } \mathbb{Q}[T]/(P) \quad P \in \mathbb{Q}[T] \text{ irreducible}$

Fix L/K any field extension (e.g. $L = \mathbb{C} \quad K = \mathbb{Q}$!).

$f \in K[T] \quad d \geq 2$

lemma $\text{Per}_L(f, L)$ is a countable set included in the algebraic closure of K in L

proof - - countable as follows from previous lemma

$$\text{Per}_L(f, m, n, L) = \{x \in L, f^m(x) \text{ is periodic of period } n\}$$

$$\subseteq \underbrace{\{f^n(f^m(t)) = f^m(t)\}}$$

polynomial equation with coefficients in K !!!

Thm B $f \in K[T] \quad d \geq 2 \quad K \text{ number field}$

$\boxed{\text{Per}_L(f, K)}$ is a finite set

Surprising! in view of Thm A

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ex ample 1

$$M_d(T) = T^d$$

$$\Pi_d^n(T) = T^{d^m}$$

$$\text{Hyper}(M_d) = \{0\} \cup \{a\} \cup \left\{ x \in K^*, x^{d^m} = x \right\}$$

$m > 0$
 $n \geq 1$

$= \{0\} \cup \{a\} \cup \{\text{root of each poly in } K\}$

$$\left[\text{if } J \in \mathbb{C}_N \quad J^k \in \mathbb{Q}_N \text{ for all } k \geq 1 \right]$$

if K is alg. closed \rightsquigarrow infinite

if K is a number field \rightsquigarrow finite

indeed $\deg(e^{\frac{2\pi i f}{g}}) = \varphi(g)$ Euler totient function $\xrightarrow{q \rightarrow \infty} \infty$
 $\# \{n < q, \gcd(n, g) = 1\}$

ex ample 2

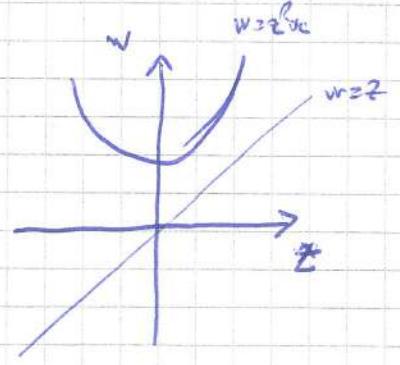
$$K = \mathbb{R}$$

$$f_c(z) = z^c + c$$

$c > 1$ $\text{Hyper}(f_c, \mathbb{R}) = \emptyset$

[induction: $f_c(0) > 0$.

$$z > 0 \Rightarrow f_c(z) \geq (1+z) |z|$$



$c = 0$ $\text{Hyper}(f_c, \mathbb{R}) = \{0, \pm 1\}$ finite.

$c < -1$ $\text{Card Hyper}(f_c, n, \mathbb{R}) = 2^n$ closed

\rightsquigarrow basic exercise in dynamics! Lemma || 3.2' mindest $|I_{\pm}| \geq 1$ $\Sigma(I_{\pm}) \supseteq I_{\pm} \cup I$.

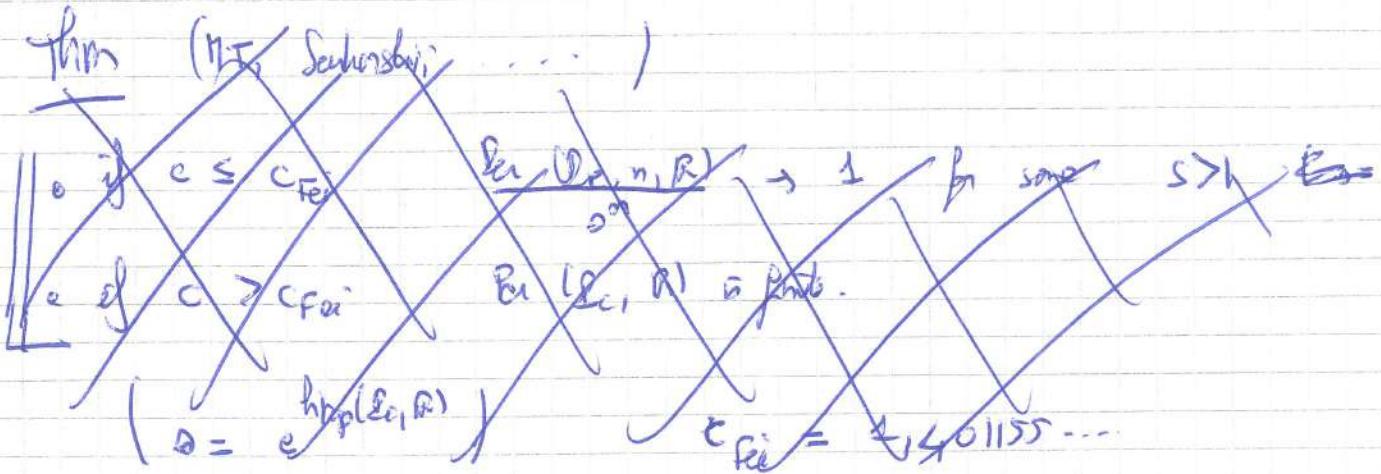
Various phenomena between

\rightsquigarrow exercise $c \in \left[-\frac{3}{4}, \frac{1}{4}\right] \neq \text{Hyper}(f_c, \mathbb{R}) = 3$.

9 ~~this~~ \rightsquigarrow exercise $c = -2$ and $\text{Card}(f_c, n, \mathbb{R}) = 2^n$ (Tschirnhoß!) BSVP

The whole picture is described in the original paper of

Urbanski & Thurston "on iterated maps of the interval"⁴ 68, 69.



Thm (Urbanski, NT, ...) $c_{Fei} = -1,401155\dots$

- $c < c_{Fei}$ so $\exists n > 1$ such that $s = \exp(h_{pp}(E_c, R)) = n$ if n is a power of 2 $\lim_{n \rightarrow \infty} \frac{1}{n} P_{c,R} \geq \alpha > 0$ and finite.
- $c = c_{Fei}$ for each n $P_{c,R} = n$ if n is a power of 2 $\} = 0$ otherwise
- $c > c_{Fei}$ $P_{c,R}$ is finite.

→ first statement NT 6.9

→ second statement NT 6.14 example 14.6

→ third statement not well treated in the literature. (use renormalization)

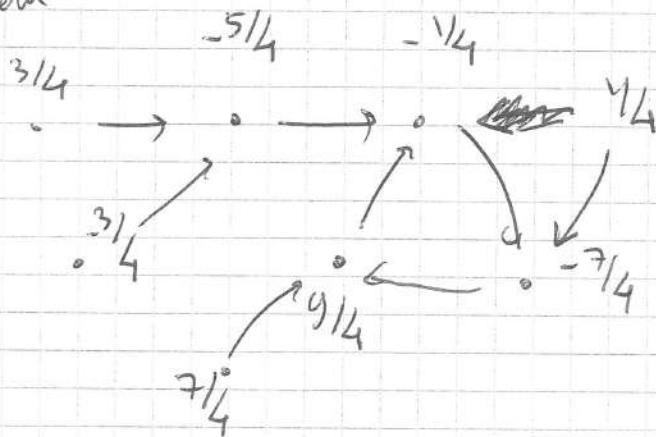
in fact 3rd period 2ⁿ⁺¹ attracting orbit and in R all but the preimage of period 2ⁿ⁺¹ converge to that point!

~~Thm 1 Lattich, Smale, Graetz, Takahashi~~

- ~~(1) there exists a positive integer N such that $\text{Per}(\varphi, N) \rightarrow \infty$~~
- ~~(2) there is a open and dense subset of parameters $c \in [-\frac{1}{2}, \frac{1}{2}]$ such that $\text{Per}(\varphi_c, N) \neq \emptyset$ for all $N \geq 1$.
(But φ_c is injective!)~~

example 3 $\varphi(z) = z^2 - \frac{29}{16}$

closed loop



- real norm

$|z| \leq 2$ otherwise

$$|z^2 - \frac{29}{16}| \geq 4 - \frac{29}{16} > 2$$

$$2|z| - \frac{29}{16} \geq \left(1 + \frac{1}{4}\right)|z|$$

so only possibilities $z = \frac{q}{q}$ $q = 1, 2, 4$

$$|z| < 2$$

III

Conjecture (Pomren) $\varphi_c(z) = z^2 + c$

[1] For any $c \in \mathbb{Q}$ $\text{Gard}(\text{Preper}(\varphi_c, \mathbb{Q})) \leq 9$

[2] For any $c \in \mathbb{Q}$ for any $N \geq 4$ $\text{Per}(\varphi_c, N) \cap \mathbb{Q} = \emptyset$.

so ① is optimal

so ② is known for $N = 4 \& 5$.

D) (4) The uniform boundedness conjecture

For reading generalization of Poisson's conjecture!

UBC (Silverman)

Fix $N \geq 1$ and $d \geq 2$.

There exists a constant $G = G(N, d)$ s.t. for all number field K/\mathbb{Q} ~~such that~~ $[K:\mathbb{Q}] \leq N$
for all $f \in K(T)$ of degree d

$$|\text{ord}_{\text{Pipen}}(f, K)| \leq G.$$

→ ~~version~~ version of Thm B which is uniform in f

Very partial results are known.

Thm G (Benedetto) There exists a constant $G > 0$ s.t.

~~where~~ $c = \frac{f}{q} \log p_{\text{ng}} = 1$ where $s = \text{number of prime factors of } q$.

$$|\text{ord}_{\text{Pipen}}(f_c, \mathbb{Q})| \leq G(1 + s \log s).$$

and various (and more!) other versions for number fields

and version of Benedetto's thm due to Garcia, Trancoso, Wohkauian.

The conjecture was inspired by dep results in analytic geometry that I now would like to explain.

\mathbb{K} field of char. 0.

$$A, B \in \mathbb{K} \text{ s.t. } 4A^3 + 27B^2 \neq 0.$$

$$\bullet E_{(A,B)}^*(\mathbb{K}) = \{(x,y) \in \mathbb{K}^2, y^2 = x^3 + Ax + B\}$$

$$E_{(A,B)}(\mathbb{K}) = E_{(A,B)}^*(\mathbb{K}) \cup \{\infty\}$$

Remark: $E_{(A,B)}^*$ is a smooth algebraic curve

* if $\mathbb{K} = \mathbb{R}$ or \mathbb{C} $E_{(A,B)}^*$ is a \mathbb{R}/\mathbb{C} -manifold

Addition law on $E_{(A,B)}(\mathbb{K})$ ∞ = identity element

$$\begin{cases} - \text{ if } P = (x,y) & -P \stackrel{\text{def}}{=} (x, -y). \\ & (\text{convention } -\infty = \infty) \end{cases}$$

- if $P, Q \in E_{(A,B)}^*(\mathbb{K})$ the line L passing through P and Q intersects E at a third point which we define to be $-(P+Q)$
(if $P=Q$ take the tangent line)

~~Sketches~~ Prop $(E_{(A,B)}(\mathbb{K}), +)$ is an abelian group

(amounts to check the associativity)

Computation $\phi_2 : E_{(A,B)}(\mathbb{K}) \rightarrow E_{(A,B)}(\mathbb{K})$

$$[P] \mapsto [P] \oplus [P]$$

$P = (x, y)$ tangent line at P

$$t \mapsto (x + t^2y, y + t(3x^2 + A)).$$

$$(x + 2yt)^3 = A(x + 2yt) + B = (y + t(3x^2 + A))^2$$

$$\Leftrightarrow t=0 \text{ in } t(3x^2 + A)^3 = t(8y^3) + 4 \cdot 3y^2x = (3x^2 + A)^2$$

$$\phi_2(x, y) = \left(+ \left[x + 2y \frac{(3x^2 + A)^2 - 12xy^2}{8y^3} \right], * \right)$$

$$+ \left(x + \frac{(3x^2 + A)^2}{4(x^3 + Ax + B)} - 3x \right)$$

$$= -2x + \frac{(3x^2 + A)^2}{4(x^3 + Ax + B)} = \frac{x^4 - 2Ax^2 - 8Bx + A^2}{4(x^3 + Ax + B)}$$

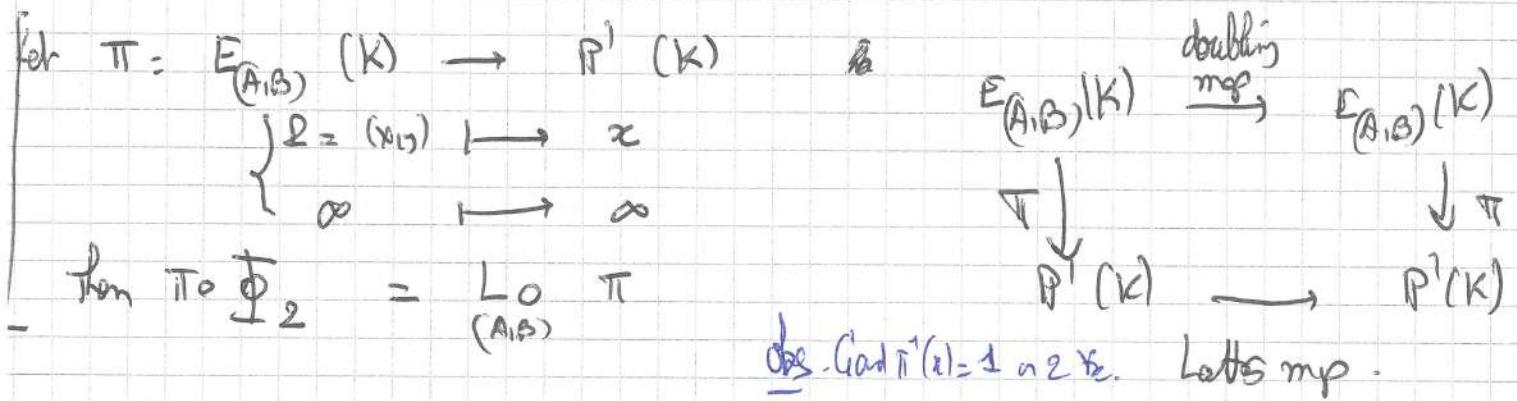
$$\phi_2(x, y) = \left(\frac{x^4 - 2Ax^2 - 8Bx + A^2}{4(x^3 + Ax + B)}, * \right) = L_{A,B}.$$

We have proved.

Prop

$$A, B \in K$$

~~Proposition~~



Observation. $\deg(L_{A,B}) = 4 \iff \boxed{4A^3 + 27B^2 \neq 0}$

• $L_{A,B}$ 6 conjugates to $L_{\lambda^3 A, \lambda^2 B}$.

• $\pi^{-1} \text{Raman} (L_{A,B}) = \text{Raman} (\phi_2) = \{ P \in E_{A,B}(K) \mid [2]P = \mathcal{O} \}$

= Torsion points of $E_{A,B}(K)$

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13 Thm (Mordell-Weil)

K number field

then $E_{(A,B)}(K)$ is a finitely generated abelian group.

In other words $E_{(A,B)}(K) \simeq \mathbb{Z}^r \oplus G$

G = finite abelian group.

VBG for $d=4 \Rightarrow \exists G(N)$ o.r. for all number field

of degree $\leq N$ for all elliptic curve defined over K

$|G| \text{ and } |\text{Torsion}(E_{(A,B)}(K))| \leq G(N)$.

↪ very deep theorem due to Darmon $N=1$ i.e $K=\mathbb{Q}$

Mordell in full generality.

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