

# On the irregular Hodge filtration

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# Deligne's Hodge theory

- $U$ : smooth quasi-proj. var.  $/\mathbb{C}$ ,  $\dim U = n$
- **Deligne**:  $H^k(U, \mathbb{Q})$  endowed with a canonical mixed Hodge struct.
- How to make explicit the Hodge and weight filtr.?
- Choose an embedding  $j : U \hookrightarrow X$ ,  $X$  smooth proj.,  $D := X - U$  a **ncd**
- $\mathcal{O}_X(*D)$  equipped with the  $\searrow$  filtr. by the order of the pole  $F^p \mathcal{O}_X(*D)$ : locally,  $D = \{ \prod_{i=1}^{\ell} x_i = 0 \}$ ,

$$F^1 \mathcal{O}_X(*D) = 0, \quad F^0 \mathcal{O}_X(*D) = \mathcal{O}_X \cdot 1 / \prod_{i=1}^{\ell} x_i$$

$$F^p \mathcal{O}_X(*D) = \sum_{|\alpha| \leq -p} \partial_x^\alpha F^0 \mathcal{O}_X(*D), \quad p \leq -1$$

# Deligne's Hodge theory

- $F^p$  DR  $\mathcal{O}_X(*D)$ :

$$\left\{ 0 \rightarrow F^p \mathcal{O}_X(*D) \rightarrow \cdots \rightarrow F^{p-n} \Omega_X^n(*D) \rightarrow 0 \right\}$$

- **THEOREM (Deligne).**  $F^p H^k(X, \text{DR } \mathcal{O}_X(*D))$ :

$$\text{image} \left[ H^k(X, F^p \text{DR } \mathcal{O}_X(*D)) \rightarrow H^k(X, \text{DR } \mathcal{O}_X(*D)) \right]$$

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- $H^k(X, (\Omega_X^\bullet(\log D), d)) \xrightarrow{\sim} H^k(X, \text{DR } \mathcal{O}_X(*D))$
- $H^k(X, F^p(\Omega_X^\bullet(\log D), d)) \hookrightarrow H^k(X, (\Omega_X^\bullet(\log D), d))$
- $H^k(X, (\Omega_X^\bullet(\log D), \mathbf{d})) \simeq H^k(X, (\Omega_X^\bullet(\log D), \mathbf{0}))$

# The Deligne bundles

- Glue  $H^k(X, (\Omega_X^\bullet(\log D)[u], \mathbf{ud}))$  with  $H^k(X, (\Omega_X^\bullet(\log D)[v], \mathbf{d}))$  ( $v = u^{-1}$ ):

$$u^{-\ell} : \Omega_X^\ell(\log D)[u, u^{-1}] \xrightarrow{\sim} \Omega_X^\bullet(\log D)[v, v^{-1}]$$

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- Deligne's thm  $\Rightarrow$ :

- Filtered bundle  $F^\bullet \mathcal{H}^k$  on  $\mathbb{P}^1_{(u:v)}$ .

- $\mathcal{H}^k \simeq \bigoplus_p \mathcal{O}_{\mathbb{P}^1}(p)^{h^{p,q}}$ ,

$$h^{p,q} = \dim H^q(X, \Omega_X^p(\log D))$$

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- Moreover,  $\exists$  connection  $\nabla$  on  $\mathcal{H}^k$ :  $\partial_v$  or  $u\partial_u - \ell$ , i.e., no pole at  $v = 0$  and log. pole at  $u = 0$ ,

$$\nabla F^p \subset F^p \otimes \Omega_{\mathbb{P}^1}^1.$$

# What for a regular function?

- $f : U \rightarrow \mathbb{A}^1$  regular function.
- **Hodge filtration on  $H_{\mathrm{dR}}^k(U, d + df)$ ?**
- Why? Motivations from
  - Arithmetics ( $p$ -adic valuations of Gauss sums):  
**Deligne** 1984, **Adolphson-Sperber**  $\sim$ 1989.
  - Mirror symmetry for Fano mani(orbi)folds:  
**Katzarkov, Kontsevich & Pantev**  $\sim$ 2012
  - Wild Hodge theory: **T. Mochizuki**  $\sim$ 2011




# What for a regular function?

- If  $(Y, \omega_Y)$  Fano mfd, expect the mirror given by a LG model  $f : U \rightarrow \mathbb{A}^1$ .
- $H_{\text{dR}}^k(Y) \longleftrightarrow H_{\text{dR}}^k(U, d + df)$
- **Problem:** To find a filtr.  $F^\bullet H_{\text{dR}}^k(U, d + df)$  corresp. to  $F^\bullet H_{\text{dR}}^k(Y)$
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- What properties?
-  Betti structures  $/\mathbb{Q}$  **do not** correspond.
- $\Rightarrow$  Do not expect  $\overline{F}^\bullet$  opposite to  $F^\bullet$ , even after grading by some  $W$ .
- So what?

# What for a regular function?

- **Example:**

- $U = \mathbb{A}^1$ ,  $f : t \mapsto -t^2$ ,  $\mathcal{M} = \mathcal{O}_U$ .

- $\dim H_{\text{dR}}^1(U, d - d(t^2)) = 1$ ,

- **period:**  $\int_{\mathbb{R}} e^{-t^2} dt = \pi^{1/2}$ ,

- **(Deligne):**  $\Rightarrow F_{\text{Del}}^p E^{-t^2}$  with  $p \in 1/2 + \mathbb{Z}$ .

- In gen., should expect  $F_{\text{Del}}^p H_{\text{dR}}^k(U, d + df)$  with  $p \in A + \mathbb{Z}$ ,  $A \subset \mathbb{Q}$  finite.

(Mirror sym. to Hodge struct. of Fano orbifolds)

- Motivation for  $F_{\text{Del}}^\bullet$ : estimates for  $p$ -adic exp. sums in terms of the **irregular Hodge polygon**.

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- $U \subset X$  smooth,  $X \setminus U = D$ : divisor in  $X$
- $M$ : reg. hol.  $\mathcal{D}_X$ -module ( $\Leftrightarrow \mathcal{O}_X$  mod. + reg. flat  $\nabla$ )
- $f : U \rightarrow \mathbb{A}^1$  regular fctn on  $U$ ,  
 $E^f := (\mathcal{O}_X(*D), d + df)$
- $M \otimes E^f = (M(*D), \nabla + df)$
- $\pi : X \rightarrow Y$  proper.  $\pi_+(M \otimes E^f)$  may have very complicated irreg. sing.

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- $\pi : X \rightarrow Y$  proper.  $\pi_+(M \otimes E^f)$  may have very complicated **irreg. sing.**
- If  $(M, F_\bullet M)$  underlies an object of  $\text{MHM}(X)$ , how to define a filtr.  $F_\bullet^{\text{irr}}$  on  $M \otimes E^f$ ?
- Would like: the spectr. seq.  
 $E_1^{p,q} = H^{p+q}(X, F_{\text{irr}}^p \text{DR}(M \otimes E^f))$  degen.
- More gen., the spectr. seq.  $H^k \pi_+(M, F_\bullet^{\text{irr}} M)$  degenerates

# Exponentially twisted MHM's

- $U \subset X$  smooth,  $X \setminus U = D$ : divisor in  $X$
- $f : U \rightarrow \mathbb{A}^1$  regular fctn on  $U$

## THEOREM.

$(M, F_\bullet M) \in \text{MHM}(X) \Rightarrow \exists$  good filtr.  $F_\bullet^{\text{irr}}(M \otimes E^f)$  s.t.

- $F_\bullet^{\text{irr}}(M \otimes E^f)|_U = F_\bullet M|_U$
- Any morphism  $\varphi$  in  $\text{MHM}(X)$  induces a **strict** morphism w.r.t.  $F_\bullet^{\text{irr}}$
- $\pi : X \rightarrow Y$  projective  $\Rightarrow$   
 $H^k \pi_+(M \otimes E^f, F_\bullet^{\text{irr}}(M \otimes E^f))$  is **strict**
- **If**  $\exists h : V \rightarrow \mathbb{A}^1$  s.t.  $f = h \circ \pi$ , then

$$F_p H^k \pi_+(M \otimes E^f) = F_p^{\text{irr}}((H^k \pi_+ M) \otimes E^h)$$



# Techniques of proofs

- **Deligne** (1984): proof by hand in case of a unitary local system on a punctured curve  $U$  and  $f : U \rightarrow \mathbb{A}^1$ . He remarks: cannot expect opposedness. What is a Hodge filtration without opposedness good for?  $\rightarrow p$ -adic valuations of Gauss sums.
- **C.S.** (2010): defines  $F^{\text{Del}}$  in the case of VPHS on  $U \subset \mathbb{P}^1$ ,  $f = \text{Id}_U$ . Uses ideas coming from twistor  $D$ -modules & M. Saito's results on Brieskorn lattices.
- **J.-D. Yu** (2012): defines  $F_{\bullet}^{\text{Yu}}(\mathcal{O}_X(*D), d + df)$ ,  $D = \text{ncd}$ . Various special cases of strictness for  $\pi : X \rightarrow \text{pt}$ .

# Techniques of proofs

- **Kontsevich** (2012): Construction of  $(\Omega_f^\bullet, ud + vdf)$  and degeneration at  $E_1$  for each  $u, v \in \mathbb{C}$  (assumes  $f^*(\infty)$  reduced ncd, uses char.  $p$  reduction).
- **E-S-Y** (2013) Degeneration at  $E_1$  for  $F^{Yu}$ . Relation with  $F^{Del}$  and with Kontsevich construction  $\rightsquigarrow$  new proof of  $E_1$ -degen. for  $(\Omega_f^\bullet, ud + vdf)$  without assuming  $f^*(\infty)$  reduced. Proves last point of the thm by using Saito's **MHM** theory.
- **S-Y** (2014): proof of the thm by using Mochizuki's **MTM** theory

# The Kontsevich complex

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- $f : U \rightarrow \mathbb{A}^1$ ,  $f : X \rightarrow \mathbb{P}^1$ ,  $D = X \setminus \mathbb{P}^1$  **ncd**
- $\Omega_f^k \subset \Omega_X^k(\log D)$ :  $\{\omega \mid df \wedge \omega \in \Omega_X^{k+1}(\log D)\}$
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- $\forall u, v \in \mathbb{C}$ ,  $(\Omega_f^\bullet, ud + vdf)$  is a cplx
- Refinement:
  - $P := f^*(\infty)$ ,  $P = \sum_i e_i P_i$ ,  $P_{\text{red}} \subset D$
  - $\forall \alpha \in [0, 1)$ ,  $[\alpha P] := \sum_i [\alpha e_i] P_i$
  - $\Omega_f^k(\alpha) \subset \Omega_X^k(\log D)([\alpha P])$
  - $\rightsquigarrow \forall u, v \in \mathbb{C}$ ,  $(\Omega_f^\bullet(\alpha), ud + vdf)$  is a cplx

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**THEOREM (Kontsevich).**  $\forall k, \forall \alpha \in [0, 1), \forall u, v \in \mathbb{C}$

$$\dim H^k(X, (\Omega_f^\bullet(\alpha), ud + vdf)) = \dim H^k(X, (\Omega_f^\bullet(\alpha), \mathbf{0}))$$

(Pf by M.K. if  $P = P_{\text{red}}$ , pf by E-S-Y in general).

# The Kontsevich bundles

- Glue  $H^k(X, (\sigma^{\geq p} \Omega_f^\bullet(\alpha)[u], u\mathbf{d} + \mathbf{d}f))$  with  $H^k(X, (\sigma^{\geq p} \Omega_f^\bullet(\alpha)[v], \mathbf{d} + v\mathbf{d}f))$  through  $u = v^{-1}$
- Get a filtered bundle on  $\mathbb{P}^1$ :  $F^p \mathcal{K}_\alpha^k$
- $\mathcal{K}_\alpha^k \simeq \bigoplus_p \mathcal{O}_{\mathbb{P}^1}(p) h_\alpha^{p, k-p}(f)$ ,  
 $h_\alpha^{p, k-p}(f) := \dim H^{k-p}(X, \Omega_f^p(\alpha))$
- $\Rightarrow F^p \mathcal{K}_\alpha^k =$  Harder-Narasimhan filtr.
- $\exists ?$  a natural (merom.) connection  $\nabla$  on  $\mathcal{K}_\alpha^k$  ?

# The Kontsevich bundles

## THEOREM.

- $\exists$  a natural (merom.) connection  $\nabla$  on  $\mathcal{K}_\alpha^k$ , log. pole at  $v = 0$  & pole of order  $\leq 2$  at  $u = 0$ .
- $F^\bullet \mathcal{K}_\alpha^k$  satisfies Griffiths transv. w.r.t.  $\nabla$ :  
 $\nabla F^p \mathcal{K}_\alpha^k \subset F^{p-1} \mathcal{K}_\alpha^k \otimes \Omega_{\mathbb{P}^1}^1$ .
- Eigenvalues of  $\text{Res}_{v=0} \nabla \in (-\alpha - 1, -\alpha]$ .
- On each general. eigensp. of  $\text{Res}_{v=0} \nabla$ ,

$$N = \text{Nilp } \text{Res}_{v=0} \nabla : \frac{F^p \mathcal{K}_\alpha^k}{F^p \cap v \mathcal{K}_\alpha^k} \longrightarrow \frac{F^{p-1} \mathcal{K}_\alpha^k}{F^{p-1} \cap v \mathcal{K}_\alpha^k} \quad \text{strict}$$



# Ideas of proof

- To interpret  $\mathcal{H}_\alpha^k$  as a sub-bdle in a Gauss-Manin syst.
- $f : X \rightarrow \mathbb{P}^1, f(U) \subset \mathbb{A}^1.$
- $vf : U \times \mathbb{A}_v^1 \rightarrow \mathbb{A}^1$ 
  - pole div.:  
 $\mathcal{P} := (P \times \mathbb{P}^1) \cup (X \times \{u = 0\}) \subset X \times \mathbb{P}^1$
  - Divisor  $\mathcal{D} = \mathcal{P} \cup (H \times \mathbb{P}^1)$
  - Projection  $q : X \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$
- $\mathcal{E} = E^{vf}(*\mathcal{D}) := (\mathcal{O}_{X \times \mathbb{P}^1}(*\mathcal{D}), d + d(vf))$
- Push-frwd  $H^k := \mathcal{H}^{k - \dim X} q_+ \mathcal{E}$ : **hol. mod.** on  $\mathbb{P}^1$
- $H^k = H^k(*\{u = 0\})$  (can consider  $H_v^k$  as a module on  $\mathbb{C}[v]\langle \partial_v \rangle$ )

# Ideas of proof

- **PROPOSITION.**  $H^k$  has sing. at  $v = 0$  and  $u = 0$  only, and  $v = 0$  is a reg. sing.
- **PROOF.**  $H_v^k$  is the **Laplace transf.** of the G-M system  $H^{k-\dim X} f_+(\mathcal{O}_X(*D), d)$ .
- $\Rightarrow \mathbb{C}[v, v^{-1}] \otimes_{\mathbb{C}[v]} H_v^k$  free  $\mathbb{C}[v, v^{-1}]$ -mod. of finite rk with a connection  $\nabla$ , **reg. sing.** at  $v = 0$ , **irreg. sing.** at  $u = 0$ .
- $\alpha \in [0, 1)$  fixed,  $\rightsquigarrow V_\alpha H_v^k$ : Deligne ext. with log. poles and eigenval. of residue in  $(-\alpha - 1, -\alpha]$ .
- $V_\alpha H_v^k$ : free  $\mathbb{C}[v]$ -mod. with connection  $\nabla$ , log. pole at  $v = 0$ .

# Ideas of proof

- Chart  $\mathbb{A}_u^1$ : extend  $H_u^k := H^k[u, u^{-1}]$  as a  $\mathbb{C}[u]$ -module using the Hodge filtration of  $(\mathcal{O}_X(*D), d) \rightsquigarrow$  **Brieskorn lattice**  $G^0 H_u^k$ .
- $\nabla$  has a pole of order  $\leq 2$  on  $G^0 H_u^k$  ( $\rightsquigarrow$  n.c. Hodge structure in the sense of KKP)
- $\rightsquigarrow$  Bundle  $\mathcal{H}_\alpha^k \subset H^k$  obtained by gluing  $V_\alpha H_v^k$  and  $G_0 H_u^k$ .
- $\nabla$ : log pole at  $v = 0$  and pole of order  $\leq 2$  at  $u = 0$ .
- $\mathcal{K}_\alpha^k \xrightarrow{\sim} \mathcal{H}_\alpha^k$
- This isom. is strict w.r.t. the Harder-Narasimhan filtr.

# Ideas of proof

- $\rightsquigarrow$  What about the Harder-Narasimhan filtr. of  $\mathcal{H}_\alpha^k$  ?
- **PROPOSITION.** The irreg. Hodge filtr.  $F_\alpha^{\text{irr}, \bullet} H^k$  (= push-forward by  $q$  of the irreg. Hodge filtr.  $F_\alpha^{\text{irr}, \bullet} \mathcal{E}$ ) induces on  $\mathcal{H}_\alpha^k$  the H-N filtr.
- **LEMMA.** Let  $\mathcal{H}$  be a v.b. on  $\mathbb{P}^1$  obtained by gluing a free  $\mathbb{C}[v]$ -mod.  $V$  and a free  $\mathbb{C}[u]$ -mod.  $G^0$ , with

$$\mathbb{C}[v, v^{-1}] \otimes_{\mathbb{C}[v]} V =: G := \mathbb{C}[u, u^{-1}] \otimes_{\mathbb{C}[u]} G^0.$$

Then

$$\mathcal{H}^{\geq p} |_{\text{Spec } \mathbb{C}[v]} = \mathbb{C}[v] \cdot (u^p G^0 \cap V),$$

$$\mathcal{H}^{\geq p} |_{\text{Spec } \mathbb{C}[u]} = \mathbb{C}[u] \cdot (G_0 \cap v^p V).$$